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**TRANSMITTAL
FORM**

(to be used for all correspondence after initial filing)

Application Number	09/787,970
Filing Date	September 26, 2001
First Named Inventor	Lindhofer, Horst
Group Art Unit	1642
Examiner Name	To Be Assigned
Attorney Docket Number	080575-000000US

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Total Number of Pages in This Submission

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ENCLOSURES (check all that apply)☐ Fee Transmittal Form☐ Fee Attached☐ Amendment / Response☐ After Final☒ Affidavits/declaration(s)☐ Extension of Time Request☐ Express Abandonment Request☒ Information Disclosure Statement☐ Certified Copy of Priority Document(s)☐ Response to Missing Parts/ Incomplete Application☐ Response to Missing Parts under 37 CFR 1.52 or 1.53☐ Assignment Papers (for an Application)☐ Drawing(s)☐ Licensing-related Papers☐ Petition Routing Slip (PTO/SB/69) and Accompanying Petition☐ Petition to Convert to a Provisional Application☐ Power of Attorney, Revocation Change of Correspondence Address☐ Terminal Disclaimer☐ Request for Refund☐ CD, Number of CD(s)☐ After Allowance Communication to Group☐ Appeal Communication to Board of Appeals and Interferences☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)☐ Proprietary Information☐ Status Letter☒ Other Enclosure(s) (please identify below):

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Firm and Individual name

Townsend and Townsend and Crew LLP

M. Henry Heines

Reg. No. 28,219

Signature

Date

11 July 2002

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PATENT
Attorney Docket No.: 080575-000000US
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TOWNSEND and TOWNSEND and CREW LLP

By: REVER Kwan

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Horst LINDOHLER, et al.

Application No.: 09/787,970

Filed: September 26, 2001

For: TIME-STAGGERED
UTILIZATION OF TUMOR CELLS IN
COMBINATION WITH INTACT
ANTIBODIES FOR IMMUNIZATION

Examiner: To Be Assigned

Art Unit: 1642

SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37
CFR §1.97 and §1.98

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

The references cited on attached form PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of the references are enclosed. Also enclosed is a copy of the Search/Examination report corresponding to the German application. It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 CFR 1.97(g) and (h), no inference should be made that the information and references cited are prior art merely because they are in this statement and no representation is being made that a search has been conducted or that this statement encompasses all the possible relevant information.

Applicant believes that no fee is required for submission of this statement, since it is being submitted prior to the first Office Action. However, if a fee is required, the Commissioner is authorized to deduct such fee from the undersigned's Deposit Account No. 20-1430. Please deduct any additional fees from, or credit any overpayment to, the above-noted Deposit Account.

Respectfully submitted,



M. Henry Heines
Reg. No. 28,219

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Substitute for Form PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(use as many sheets as necessary)

Sheet 1 of 1

Complete If Known

Application Number	09/787,970
Filing Date	September 26, 2001
First Named Inventor	Lindhofer, Horst
Art Unit	1642
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Attorney Docket Number	080575-000000US

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U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number Number Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ²
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	A	DE	196 49 223	C2	07301998			
	B	DE	197 10 497	C2	09071998			

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	C	Piselli, P. et al., "In vitro and in vivo efficacy of heat shock protein specific immunotoxins on human tumor cells," Journal of biological Regulators and Homeostatic Agents, 1995, pp. 55-62, Vol. 9(2).	

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¹ Unique citation designation number. ² Applicant is to place a check mark here if English language Translation is attached.

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